



DM 11-118



May 24, 2011

Debra A. Howland
Executive Director and Secretary
New Hampshire Public Utility Commission
21 South Fruit Street, Suite 10
Concord, NH 03301

RE: Initial Aggregator Registration – Global Energy Market Services, LLC

Enclosed please find an original and 2 copies, along with a CD containing a searchable .pdf file of the application of Global Energy Market Services, LLC.

Please direct any questions or requests for additional information to:

Elizabeth Ahrold, counsel
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223
(502) 214-9310
eahrold@fellowmccord.com

BEFORE THE NEW HAMPSHIRE PUBLIC UTILITY COMMISSION
NOTICE OF INTENT TO PROVIDE ELECTRIC AGGREGATOR SERVICES

1. Applicant's legal name:

Global Energy Market Services, LLC

2. Applicant's contact information:

Legal Notice Address: 10200 Forest Green Blvd., Suite 601, Louisville, KY 40223

Business Address: 2790 Mossdale Blvd., Suite 225, Monroeville, PA 15146

Telephone: (412) 372-2631

Toll-free: (855)-275-1595

Website: www.gems-energy.com

3. Applicant's principals:

Andrew R. Fellon, President/CEO
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223
(502) 214-9400
dfellon@fellonmccord.com

Peter C. Brown, COO
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223
(502) 214-9400
pbrown@fellonmccord.com

4. Customer service contact:

Doug Schaefer, Director, Operations
2790 Mossdale Blvd., Suite 225, Monroeville, PA 15146
(412) 372-2631 or (855)-275-1595
dschaefer@gems-energy.com

5. Applicant's authorization to do business in New Hampshire from the secretary of state is attached as Exhibit A.

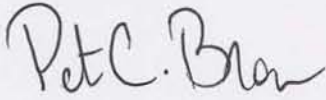
6. Applicant will provide aggregation services throughout the State of New Hampshire.

7. Applicant is not representing any supplier interests

8. A filing fee of \$250.00 is included with this Application.

9. The signature of the Applicant's representative:

Respectfully submitted:

A handwritten signature in black ink that reads "Pet C. Brown". The signature is written in a cursive, flowing style. Below the signature is a solid horizontal line.

Peter C. Brown, COO



State of New Hampshire
Department of State
Corporation Division
107 North Main Street
Concord, N.H. 03301-4989
603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 649841

State of New Hampshire

Filed
Date Filed: 05/18/2011
Business ID: 649841
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00

Form FLLC-1
RSA 304-C:12

Use black print or type.

Form must be single-sided, on 8 1/2 x 11" paper;
double sided copies will not be accepted.

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Global Energy Market Services, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is _____
Global Energy Market Services, LLC

THIRD: It is formed under the laws of State of Delaware

FOURTH: The date of its formation is 09/24/2010

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is _____
broker of energy and energy related products and services, and all other lawful business or activity which is allowed.

SIXTH: The name of its registered agent in New Hampshire is CT Corporation System

and the street address, town/city (including zip code and post office box, if any) of its registered office is
(agent's business address in New Hampshire) 9 Capitol Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)



T1113925026

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: Peter C. Brown
Print or type name: Peter C. Brown
Title: COO
Date signed: 05/11/11
Complete address of person signing: 10200 Forest Green Blvd., Suite 601
Louisville, KY 40223

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA to:
Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "GLOBAL ENERGY MARKET SERVICES, LLC"
IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN
GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF
THIS OFFICE SHOW, AS OF THE SEVENTEENTH DAY OF MARCH, A.D. 2011.

4874417 8300

110313136

You may verify this certificate online
at corp.delaware.gov/authver.shtml



Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8632510

DATE: 03-17-11

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Global Energy Market Services, LLC

Business Address (include city, state, zip): 10200 Forest Green Blvd., Suite 601, Louisville, KY 40223

Telephone Number: 502-214-9400 E-mail: eahrold@fellowmccord.com

Contact Person: Elizabeth Ahrold, Counsel

Contact Person Address (if different): same

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected.
[PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. ☐ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. ☐ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____.
3. ☐ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____.
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. ☐ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Peter C. Brown Signature: P.C. Brown

Date signed: 5/11/11

Name (print): _____ Signature: _____

Date signed: _____

Name (print): _____ Signature: _____

Date signed: _____